CULTURALLY AND ENVIRONMENTALLY SENSITIVE SUNKEN WARSHIPS

Craig Forrest

1 Introduction

A significant number, if not the majority, of archaeologically, historically or culturally important shipwrecks are warships. These range from Roman and Viking warships to iconic vessels of the 16th to 18th century, such as the Mary Rose,1 Vasa2 and La Trinidad Valencera,3 to the ironclads and stream powered warships of the 19th century, such as the USS Monitor,4 CSS Hunley5 and HMS Birkenhead.6 It is not surprising, for example, to find that the majority of wrecks designated as being of historical or archaeological importance in UK territorial waters are warships. Whilst historic wrecks are often thought to be mainly those of past centuries, wrecks of the 20th century are increasingly regarded as embodying historic or cultural values worthy of protection.7 This is particularly so for many World War I and II wrecks, whose significance is recognised by their designation and protection by domestic heritage legislation in a number of States.8 The significance of these wrecks may arise for a number of reasons. In some cases, the wreck may represent a rare or even unique example of a particular type of vessel, such as Nazi Germany’s only aircraft carrier, the Graf Zeppelin; in others the vessel may hold an iconic status for a particular nation, such as HMS Hood for the UK, Bismarck for Germany, Yamato for Japan, HMNS Sydney for Australia and the USS Arizona for the US, all casualties of World War II. Wrecks may hold historic value because of the specific events giving rise to their sinking, such as the Japanese midget submarines that were able to pierce the coastal defence of Sydney harbour; association with a famous historical figure such as PT-109, commanded by John F. Kennedy and HMS Hampshire, on which Lord Kitchener died, or association with particular historical events, such as the wreck of USS Indianapolis which had transported uranium and components for ‘Little Boy’, the atomic bomb dropped on Hiroshima. The very fact that many lives may have been lost with a vessel will of itself imbue a wreck with historic significance, as well as more general cultural significance as a maritime gravesite and memorial. Although it is mainly warships that acquire significance for reasons of this kind, merchant vessels sunk during both war and peace may well constitute gravesites deserving of respectful treatment and may sometimes also have other historic significance.9

While many of these wreck are of undoubted historic significance, requiring their preservation, often in situ, many also pose significant threats, or at least some hazard or obstacle. The two World Wars have left a legacy of potentially hazardous wrecks, including bunker or cargo oils, munitions, or other poisonous or noxious cargoes. During World War II more than 9 000 military, auxiliary and merchant marine vessels were sunk. In the Pacific region alone, an estimated 3 319 vessel were sunk, of which 2 710 were merchant ships including a large number of tankers or munitions ships.10 Indeed, a 2005 survey considered that of the 8 569 wrecks worldwide that potentially pose an oil pollution threat, over 75 per cent are casualties of World War II.11 As time passes, and the structure of the hulls erode and disintegrate, the threat becomes more serious. Such wrecks are environmental ‘time-bombs’. The USS Mississinewa, for example, sank in the Ulithi lagoon in the Federated

---

1 Reader. Marine and Shipping Law Unit, TC Beirne School of Law, University of Queensland, Australia. This article is based on a paper presented at the joint 2011 Conference between the Maritime Law Association of Australia and New Zealand, and the US and Canada Maritime Law Association.
2 The ship sank in July 1545 in the Solent, near the Isle of Wight.
3 The ship sank on its maiden voyage in August 1628 in Stockholm harbour.
4 The ship sank in September 1588 off Donegal Ireland after the failed Spanish invasion of England.
5 The ship sank whilst under tow in December 1862 off Cape Hatteras, North Carolina.
6 The submarine Hunley sank in February 1864 after having successfully sunk the USS Housatonic in Charleston Harbour, South Carolina.
7 The ship sank in February 1852, while transporting troops off the coast of South Africa near Cape Town.
8 A number of wreck sites of warships have considerable salvage value due to the cargo carried. This would apply to vessels of earlier centuries, particularly Spanish Galleons, as well as vessels of more recent origin, such as the HMS Edinbrugh, the SS John Barry and the Japanese submarine I-52.
9 This includes, for example, the protection of the Japanese World War II vessels sunk in Chuku (Truk) lagoon, which are collectively protected as a national monument under Chuku State law; the US, Australian, New Zealand and Japanese wrecks in Iron Bottom Sound off the island of Guadalcanal, which are protected by Solomon Islands law; and the World War I scuttled German fleet at Scapa Flow, which is protected under UK law.
10 RMS Titanic, RMS Lusitania, Wilhelm Gustloff and Goya are all examples. The sinking of the Wilhelm Gustloff remains the worst maritime disaster in history with over 9 000 German refugees dying when she was torpedoed by a Russian submarine off Poland in 1945. Similarly, the Goya was also sunk in 1945 by a Russian submarine with over 6 000 refugees and wounded German troops on board, most of whom perished.
Culturally and Environmentally Sensitive Sunken Warships

States of Micronesia with over three million gallons of oil on board. With the deterioration of the hull, oil leaks increasingly polluted the lagoon, necessitating a substantial salvage effort to remove the oil. Similarly, in 1994 Norwegian authorities removed the bulk of the oil remaining in the World War II German heavy cruiser Blücher, sunk in 1940, at a cost of $7.1 million. More recently the German U-boat U864, which sank with all hands in 1945, has been deemed an environmental threat by Norwegian authorities in light of its cargo of 65 tonnes of mercury, which has begun to contaminate fish stocks in the vicinity. Whilst the historic value of the USS Mississinewa and U864 may be debatable, many other World War II wrecks that pose a pollution hazard are of unquestionable historic significance. The USS Arizona continues to leak approximately 1.8–8.5 litres of oil a day. Similarly, HMS Royal Oak, both historically important and the UK’s largest maritime war grave, was until quite recently leaking up to 1.5 tonnes of oil per week, causing considerable damage to the local environment. Indeed, in 2000 this one wreck was responsible for 96 per cent of the total quantity of oil discharged into UK waters. Usually fishing is seen as a threat to the integrity of historic wreck sites. However, in this case, the wreck of the HMS Royal Oak posed a substantial threat to nearby salmon and oyster farms of great importance to the regional economy.

Dangers posed by ageing munitions is exemplified by the SS Richard Montgomery, lying in the busy Thames Estuary, which is so unstable that little can be done to mitigate the threat other than the establishment of an exclusion zone around the wreck site. While most hazardous historic vessels are warships, merchant vessels may also be both historic and hazardous, not only owing to oil that might remain on board, but possibly munitions too. RMS Lusitania, for example, a wreck of considerable historical importance, also contains munitions, although the precise quantity and nature of these has been the subject of great controversy.

Historic wrecks have also proved to be navigational hazards. With the ever increasing draught of ships using the Dover Strait, the wreck of the World War I German U-boat UB38 became a navigational hazard 90 years after its sinking, requiring its removal in 2008 to deeper waters. The operation needed to be undertaken with considerable care to avoid disturbing torpedoes and ammunition still on board, as well as the remains of the crew. Older historic wrecks may also be an obstacle to development. In 2003, for example, a 16th century merchant ship was discovered when the Port of London Authority undertook survey work in advance of dredging operations. Despite the fact that the wreck offered a wealth of new information on Tudor shipbuilding, it was removed because of the obstacle it posed. A better outcome was achieved in relation to the discovery in 2004 of a well-preserved early 17th century wreck during a survey in advance of dredging operations to deepen the approach to Poole Harbour. Fortunately the port authorities were able to adjust their dredging regime in a way that allowed the wreck to remain in situ. These developments have threatened wrecks further and further away from the coast. The route of the major Nord Stream gas pipeline currently under construction between Russia and Germany, for example, was obstructed by Swedish warships scuttled in 1715 in the bay of Greifswald in Germany. In consultation with German cultural authorities, one of the scuttled warships was excavated in July 2009 to make way for the pipeline. Similarly, when Norsk Hydro, the developers of the gas

12 Rean Monfils, Trevor Gilbert and Sefanaia Nawadra, ‘Sunken WWII shipwrecks of the Pacific and East Asia: The need for regional collaboration to address the potential marine pollution threat’ (2006) 49 Ocean and Coastal Management 779.
13 The U864 may have a claim to some historic importance as it is the only submarine deliberately sunk in action by another submarine: Martin Fletcher, ‘Toxic timebomb surfaces 60 years after U-boat lost duel to the death’, The Times (online), 19 December 2006 <http://www.timesplus.co.uk/sto/?login=false&url=http%3A%2F%2Fwww.thetimes.co.uk%2Fstory%2F%3FCMP%3DINTstep2>. The USS Mississinewa may also be entitled to some historic significance as she was one of only a very few vessels sunk by a Japanese Kaiten (Imperial Japanese Navy manned suicide torpedo).
15 Whilst a multi-million dollar ‘hot-tapping’ salvage operation in 2001 removed considerable quantities of oil, up to 1 500 tonnes still remains, posing a continuing pollution hazard.
16 In 2010 the Marine Accident Investigation Branch (MAIB) of the UK Department for Transport reported that it was aware of 36 incidents since 2002 involving fishing vessels that had capsized or sunk after snagging their gear on material (natural or manmade) on the seabed.
17 The exclusion zone was established under s 2 of the Protection of Wrecks Act 1973 (UK) in December 2004.
18 The wreck of the U-boat U864 was subsequently designated an historic wreck under the Protection of Wrecks Acts 1973 (UK) in December 2004.
pipeline from Ormen Lange gas field off the coast of Norway to the mainland, undertook a survey of the planned pipeline route, among 14 shipwrecks discovered was a well-preserved 18th century merchant vessel. Norsk Hydro funded the excavation of artefacts from the site, but the hull itself will be damaged or destroyed by the construction of the pipeline.  

Dealing with wrecks that are historically important, but which pose some threat or obstacle, puts into stark contrast the various interests in the wreck. This article considers some of the problems associated with such wrecks. These include addressing the very definition of warship and considering its scope, primarily because, associate with such wrecks, is the application of the international principle of sovereign immunity. Consideration is also given to conventional international law developments that touch on the issues of wrecks, including the 1982 United Nations Convention on the Law of the Sea, 25 the 2001 UNESCO Convention on the Protection of Underwater Cultural Heritage 26 and the 2007 IMO Convention on the Removal of Wrecks. 27

2 Definition of Warship

The definition of a warship has been resolved in art 29 of the United Nations Convention on the Law of the Sea, which reads:

[...for the purposes of this Convention, ‘warships’ means a ship belonging to the armed forces of a State bearing the external marks distinguishing such ships of its nationality, under the command of an officer duly commissioned by the government of the State and whose name appears in the appropriate service list or its equivalent, and manned by a crew which is under the regular armed forces discipline.]

Whilst appropriate for modern vessels, it is not necessarily definitive in relation to those active in the two World Wars. The status of merchant vessels engaged in the war effort has recently come under judicial scrutiny. In R v Secretary of State for Defence, 28 the United Kingdom Court of Appeal established that the SS Storaa, an armed merchantman, which was sunk by a German E-boat torpedo in 1943 while in a military-escorted convoy, was in military service when it sank and therefore qualified for designation under the Protection of Military Remains Act 1986 (UK). The decision turned on the function of this Act to protect gravesites. The definition of ‘warship’ in earlier times is even less clear. Certainly the division between private and State interests in vessels such as privateers in the 16th and 17th century is problematic, and more so for vessels such as Viking longboats. Such problems bedevil the application of the international law principle of sovereign immunity.

3 Sovereign Immunity

The absolute sovereign immunity of modern warships and other State vessels are without doubt, and governed by arts 95 and 96 of UNCLOS. The immunity of these vessels derives from the international principles of sovereignty and comity of nations. This immunity is extended to the salvage of such vessels. Article 14 of the 1910 Brussels Convention for the Unification of Certain Rules with Respect to Assistance and Salvage at Sea 29 states that the Convention ‘does not apply to ships of war or to Government ships appropriated exclusively to a public service.’ However, this was amended in 1967 to allow for the salvage of these vessels with the limitation that such a claim could only be brought before the courts of the flag State. Article 5 of the 1989 London Salvage Convention 30 declares that:

this Convention shall not apply to warships or other non-commercial vessels owned or operated by a State and entitled, at the time of salvage operations, to sovereign immunity under general principles of international law unless that State decides otherwise.

---

27 International Convention on the Removal of Wrecks, 2007, IMO Doc. LEG/CONF.16/21 of 22 May 2007 (not yet in force). The Convention will enter into force twelve months following the date on which ten States have either signed it without reservation as to ratification, acceptance or approval or have deposited instruments of ratification, acceptance, approval or accession. As at 29 September 2011, three States had become party to the Convention.
29 Convention for the Unification of Certain Rules with Respect to Assistance and Salvage, 1910, USTS 576 (entered into force 1 March 1913).

(2012) 26 A&NZ Mar LJ
It is therefore necessary to determine whether in fact the vessel in question was subject to sovereign immunity at the time of its salvage. It has been argued that a ‘State can prohibit any physical interference with the property, even to the point of allowing its remains to lie on the bottom of the sea.’ The clandestine nature of the salvage of a Soviet Golf-II class nuclear submarine by the Glomar Explorer in 1975 supports this contention. State practise in this regard, however, is certainly equivocal, with historical maritime powers such as Spain, Portugal, the Netherlands and the United Kingdom failing to adopt any consistent policy.

The proposition that a State retains exclusive jurisdiction over its sunken State vessels, allowing it to refuse salvage services for the recovery of its warships and other State vessels that lie on the bottom of the sea, irrespective of how long they have lain there, is supported by admiralty law principles in the US. In Sea Hunt, Inc v Unidentified Shipwrecked Vessel or Vessels a US Court of Appeal held that a sovereign could refuse salvage services, and where they were undertaken against the wishes of the sovereign, no salvage award would be forthcoming. This decision is consistent with traditional salvage law that allows an owner to refuse salvage services in circumstances where a reasonable shipowner would do so. A refusal of assistance, whether blanket or otherwise, is not completed, however, until the salvor, acting as a reasonable person, has determined or could determine, the ownership of the object of salvage. In the case of historic wrecks, which have been submerged for a considerable period of time, and therefore not in eminent danger or marine peril, it seems reasonable to expect potential salvors to at least make efforts to determine ownership of the vessel. This would naturally be difficult in cases where the wreck is of some antiquity and ownership difficult to determine. Where the identity of the vessel is known, however, it would appear that an owner, whether a sovereign or private party, could therefore refuse salvage.

This application of the principle of sovereign immunity has most recently been applied to a historic wreck in the US District Court for the Middle District of Florida. In September 2011, the court found that Spain was entitled to exercise sovereign immunity in respect of the Nuestra Senora de las Mercedes y las Animas, a Spanish frigate that sank in combat in 1804, carrying a substantial amount of solver on board. Because the wreck was immune from arrest, the salvors, Odyssey Marine Exploration Inc, were ordered to return the recovered material to Spain.

4 Ownership and Abandonment

The recognition of the sovereign immunity of warships and ‘other State vessels’ is dependent upon the continued ownership of the vessel by the flag State. Ownership, however, may be extinguished through abandonment by the flag State. Determining when a State has abandoned a warship is, however, problematic, as there appears to be no conventional or customary international law governing the question, and State practice in this regard is not consistent. Two theories have been proposed. The first requires the flag State to expressly abandon title, while the second provides that abandonment may be implied by the facts, including the passage of time. While there is, in the US for example, a clear modern preference for the application of the express theory of abandonment, past US practice has been equivocal. For example, while the text and legislative history of the US Abandoned Shipwreck Act 1987 clearly evinces an intention to apply the express abandonment theory to US vessels, it does not do so for foreign vessels. This is also reflected in US admiralty court decisions that have found that State vessels of Spain and the United Kingdom found in US waters, had been impliedly abandoned. Similarly, with regard to US State vessels, little consistency can be found in US admiralty court decisions, with implied abandonment found in the case of both the USS Texas and USS Massachusetts while an express abandonment was required in the case of the CSS Alabama.

The most recent decision in US jurisprudence has however, coincided with the development of an express...
Culturally and Environmentally Sensitive Sunken Warships

theory of abandonment. In *Sea Hunt, Inc v Unidentified Shipwrecked Vessel or Vessels*, the court was required to determine whether Spain had abandoned title to the wrecks of the *La Galga* and *Juno*. While many Spanish vessels have been the subject of litigation in the US, Spain had never made any claim of ownership in the past. Spain’s appearance in the litigation to claim ownership was pivotal in the determination of the appropriate test of abandonment. The Court of Appeal referred to the decision in *Columbus-America Discovery Group v Atlantic Mutual Ins Co* in which it had been held that although abandonment could be inferred in the case of ‘long lost’ shipwrecks such an inference would not be sustained where a previous owner came forward and asserted a proprietary interest. Although this case concerned the wreck of a private merchant vessel, the Court in the *Sea Hunt* case relied on this precedent to assert that the appearance of Spain in claiming ownership required the application of the express abandonment theory.

While US courts have grappled with the problem of abandonment of warships, few other States have had cause to do so. In the limited cases that have arisen, little consistency can be ascertained. Few cases have reached national courts, and in most cases, the issue has been resolved through bi-lateral agreements between the claimant flag State and the State in whose waters the wreck was found. In some cases, the agreement does not necessarily recognise the claim of ownership of the flag State at all, and the agreements simply proceed on the basis that the States will co-operate in the recovery of the vessel, and in some way share the proceeds or artefacts recovered.

Nevertheless, States have increasingly been more vocal in expressing the application of an express theory of abandonment. The United States Policy for the Protection of Sunken Warships in 2001 declares:

United States retains title indefinitely to its sunken State craft unless title has been abandoned or transferred in the manner Congress authorized or directed. The United States recognizes the rule of international law that title to foreign sunken State craft may be transferred or abandoned only in accordance with the law of the foreign flag State. Further, the United States recognizes that title to a United States or foreign sunken State craft, wherever located, is not extinguished by passage of time, regardless of when such sunken State craft was lost at sea.

In relation to the many Japanese wreck littering the Pacific oceans, the Japanese government has declared that:

According to international law, sunken State vessels, such as warships and vessels on government service, regardless of location or of the time elapsed remain the property of the State owning them at the time of their sinking unless it explicitly and formally relinquishes its ownership. Such sunken vessels should be respected as maritime graves. They should not be salvaged without the express consent of the Japanese Government.

Germany, Russia, Spain and the United Kingdom have all expressed similar views. While there is therefore evidence that States require the application of an express abandonment theory, there is no clear indication that customary international law endorses this theory and certainly no conventional international law that does so.

5 Protection of Archaeological, Historical, and Cultural Important Sunken Warships

The 2001 UNESCO Convention of the Protection of the Underwater Cultural Heritage, which came into force on 2 January 2009, is the international response to concerns that shipwrecks and other forms of underwater
cultural heritage are under threat from unregulated salvage activities.\textsuperscript{48} The predominant concern has been that commercial operators have targeted historic wrecks in order to recover coins, bullion and other valuables and, in the process, have failed to protect the archaeological or historical value of the wreck. The Convention therefore introduces a set of archaeological standards, contained in the Rules in the Annex to the Convention, which are to be applied to all activities directed at underwater cultural heritage. Three core archaeological principles underpin the Convention: the primacy of preservation in situ whenever possible; the prohibition of commercial exploitation, particularly sale of artefacts; and the promotion of responsible non-intrusive access.

The Convention defines underwater cultural heritage as all traces of human existence having a cultural, historical or archaeological character, which has been partially or totally under water, periodically or continuously for at least 100 years. It is axiomatic that remains of warships and other State vessels of the past may fall within the broader term ‘underwater cultural heritage’ and should therefore be recovered in an archaeologically sound manner. This, however, was the subject of intense debate and disagreement. The ultimate outcome was not entirely satisfactory to a number of States, and a number abstained from voting in favour of the Convention.

The starting point for the compromise reached in the underwater cultural heritage convention was to a ‘State vessel’ for the purposes of the Convention. Article 1(8) provides that:

\begin{quote}
State vessels and aircraft means warships, and other vessels or aircraft that were owned or operated by a State and used, at the time of sinking, only for governmental non-commercial purposes, that are identifiable as such, and that meet the definition of underwater cultural heritage.
\end{quote}

Importantly, the Convention then retains the application of the principle of sovereign immunity in relation to such wrecks, providing in art 2(8) that:

\begin{quote}
Consistent with State practice and international law, including the United Nations Convention on the Law of the Sea, nothing in this Convention shall be interpreted as modifying the rules of international law and State practice pertaining to sovereign immunities, nor any State's rights with respect to its State vessels and aircraft.
\end{quote}

Given that the question of the abandonment and sovereign immunity of sunken warships is uncertain this general principle simply maintains the uncertainty status quo. That said, the Convention then proceeds to set out the rights and duties of States in relation to such vessels. This resulting regime reflects the compromise reached between flags States and coastal States. Rather than focussing on simply the rights of the flag State, it was agreed that a balance would be drawn between the right of the flag State and the coastal State. As such, the determination of these rights was dependent on the maritime zone in which the State vessel lies.

Within the territorial waters of a coastal State, the jurisdiction of the coastal State remains unquestioned, and the coastal State is simply required to inform the flag State of the discovery of a State’s vessel. The coastal State is clearly recognised as having exclusive jurisdiction in its territorial waters and that there is no question of requiring the coastal State to defer to the exclusive jurisdiction of the flag State with regard to State vessels. However, it is recognised that the best way to protect the State vessel would be through co-operation between the coastal State and the flag States. As such, the information passed to the flag State concerning the discovery of the State’s vessel is undertaken ‘with a view to cooperating on the best methods of protecting State vessels and aircraft.’ While the exclusive jurisdiction of the coastal State is recognised, this article must be read with the general principles. As such, it does not purport to alter the flag State’s existing rights in international law. Given that these are uncertain, as exemplified in the Sea Hunt litigation, this article would not necessarily resolve any issues regarding abandonment and sovereign immunity.

On the continental shelf and exclusive economic zone, it was clear that UNCLOS did not grant the coastal State rights or duties with respect to underwater cultural heritage.\textsuperscript{49} It was recognised however, that the coastal State is best placed to provide surveillance and policing measures for underwater cultural heritage found in these zones, and should take the position of co-ordinating States in determining how the underwater cultural heritage should be protected. As the coastal State did not have exclusive sovereignty in these zones, the rights of the flag State were to be given greater regard than in the territorial waters of the coastal State. The resulting balance is that no activity directed at State vessels and aircraft shall be conducted without the agreement of the flag State and the collaboration of the Coordinating State. The coastal State is however, granted the power to undertake

\textsuperscript{48} See also Craig Forrest, \textit{International Law and the Protection of Cultural Heritage} (2010).

\textsuperscript{49} See Paul Fletcher-Tomenius and Craig Forrest, ‘The Protection of the Underwater Cultural Heritage and the Challenge to UNCLOS’ (2000) 5 \textit{Art, Antiquity and Law} 125.

(2012) 26 A&NZ Mar LJ
emergency measures without the flag State’s consent in order to prevent immediate danger to underwater cultural heritage, including looting.

With regard to States vessels beyond the continental shelf or exclusive economic zone, the exclusive jurisdiction of the flag State is recognised. Article 12(7) declares that ‘[n]o State shall undertake or authorise activities directed as State vessels and aircraft in the Area without the consent of the flag State.’

It is clear that only State vessels that have sunk more than 100 years ago are included in the Convention and that only those State vessels that can be identified as such are subject to the State vessel regime of the Convention. Those that cannot be clearly identified as State vessels will therefore be regarded as ordinary underwater cultural heritage to which the Convention applies. While this does not solve the problems associated with the determination of whether a shipwreck is indeed that of a State vessel, the shifting of the onus of proof onto a State to clearly be able to identify it as such ensures that all questionable shipwrecks are included in the normal regime. It will therefore only be in exceptional circumstances that a State will claim a vessel as being a State owned vessel and subject to a differentiated regime in the Convention. This has the advantage of ensuring that the Convention has the widest possible scope, while at the same time granting States a differential protection regime for State vessels that the State may wish to deal with differently.

A number of State owned vessels are warships that have sunk in the course of battle, with the loss of service personnel. The concern is that these vessels should either not be disturbed, or if so, should be given appropriate respect. Warships that have sunk within the last 100 years will not fall within the scope of underwater cultural heritage, and will therefore not fall within the scope of the Convention. Any attempt to protect such a vessel in international waters will have to make use of bi-lateral or a further multi-lateral treaty. Nevertheless, a great number of wrecks of the First World War will soon fall within this protective regime.

There is no doubt than the greatest achievement with regard to the protection of archaeologically, historically or culturally important State vessels is their inclusion in the protection regime afforded by the Convention. The benchmark archaeological standards set out in the Rules of the Annex will therefore be applicable to these vessels if the appropriate States resolve to undertake an excavation. The requirement that agreement is obtained by the flag State for the excavation of vessels beyond the territorial waters may also be regarded as an important contribution to the development of an in situ preservation regime. This is particularly so in regard to underwater cultural heritage on the continental shelf where it is expected a great number of underwater cultural heritage sites will be discovered in the near future due to further advancements in diving and underwater technology.

6 International Law and Polluting Wrecks

The majority of wrecks that pose a pollution hazard and the great majority that pose a navigation hazard are likely to be found in the territorial sea of a coastal State. While the flag State may well retain ownership of sunken warships and other State vessels, and arguably be subject to the principle of sovereign immunity in some respects, access to these sites located in the territorial sea ultimately falls under coastal State control. As such, the special status of the wreck does not preclude the coastal State from intervening to remove a navigational obstruction or prevent damage to the marine environment, including the ability to order owners to have wrecks removed, or if removed by State authorities, to have the cost of such removal recovered from the shipowner. Such operations have occurred on a number of occasions, including the removal of the German U-boat UB38 by UK authorities and the removal of oil from the German warship Blücher by Norwegian authorities.

While coastal State have the ability to regulate wrecks within their territorial sea, the extent to which a coastal State can regulate wrecks beyond this zone has long been uncertain. The Torrey Canyon disaster in 1967 revealed certain doubts with regard to the powers of States, under public international law to take measures against a non-flag vessel on the high seas which posed a pollution risk to the State, leading to the adoption of the International Convention Relating to Intervention on the High Seas in Cases of Oil Pollution Casualties in 1969. The Intervention Convention , it has been argued, merely codified what was already an existing customary right of coastal State action. Even if this is not the case, it has also been argued that the international community’s apparent acceptance of Britain’s intervention in the case of the Torrey Canyon resulted in the emergence of a

---

50 Ashley Roach and Robert Smith, United States Responses to Excessive Maritime Claims, (2nd ed, 1996) 475.

(2012) 26 A&NZ Mar LJ
Culturally and Environmentally Sensitive Sunken Warships

new rule of customary international law, which was ‘clarified and crystallised’ in the Intervention Convention. That certain intervention powers exist as a matter of customary international law is assumed in other conventional regimes, including UNCLOS and the 1989 Salvage Convention. While such a right may have existed, the Torrey Canyon disaster certainly raised issues as to the extent of this right and highlighted the need for clarification through conventional rules.

The Intervention Convention provides that coastal States:

may take such measures on the high seas as may be necessary to prevent, mitigate or eliminate grave and imminent danger to their coastline or related interests from pollution or threat of pollution of the sea by oil, following upon a maritime casualty or acts related to such a casualty, which may reasonably be expected to result in major harmful consequences.

The Convention does not specify precisely what measures can be taken by the coastal State, essentially limiting such action only by the principle of proportionality of the response to the hazard and by a requirement to enter into consultation with other States affected by the maritime casualty, particularly with the flag State. Nor does the Convention specifically include wrecks within its scope, though the danger may very well emanate from a wreck as a maritime casualty, including from historic wrecks.

The prevailing uncertainties as to the full scope of coastal States’ intervention powers were not addressed when UNCLOS was negotiated and adopted. Whilst commonly regarded as a ‘constitution for the oceans’, UNCLOS failed to clarify the powers of wreck removal in the jurisdicitional zones beyond the 12nm territorial sea. However, while UNCLOS does not contain any provisions which directly address wrecks, it does contain a number of articles which address the protection of the marine environment in both the exclusive economic zone and territorial sea, and some provisions which address the safety of navigation in the territorial sea. Article 221 of UNCLOS provides:

Nothing in this Part shall prejudice the right of States … to take and enforce measures beyond the territorial sea proportionate to the actual or threatened damage to protect their coastline or related interests, including fishing, from pollution or threat of pollution following upon a maritime casualty... which may reasonably be expected to result in major harmful consequences.

Although the definition of ‘maritime casualty’ set out in para (2) of art 221 does not refer to wreck or wrecks, it would not appear to exclude wrecks either. Article 221 does not purport to introduce new rights but instead ensures that pre-existing rights pursuant to customary and conventional international law are maintained. Importantly, it adopts a lower threshold for coastal State intervention than the Intervention Convention by not requiring that there be ‘a grave and imminent danger’ of pollution before a coastal State can intervene.

Whilst international law therefore allows for coastal State reaction to threats emanating from wrecks, the extent of this power was uncertain. In relation to contemporary wrecks, a number of States wished to see a regime that would require shipowners to remove wrecks that posed a hazard or pollution threat, and to require shipowners to carry insurance to cover the costs of such wreck removal. This situation prompted the Netherlands and Germany, along with the UK, to champion the cause of a wreck removal treaty. The resulting Convention allows the coastal State to regulate the removal of a wreck located in the exclusive economic zone that poses a hazard to the coastal State, and is able to require the shipowner to remove the wreck or hazard at its own expense and to provide financial security for the liability for such a removal if undertaken by the coastal State. The Wreck Removal Convention reflects similar liability and compensation regimes such as the International Convention on Civil Liability for Oil Pollution Damage and the Convention on Civil Liability for Oil Pollution Damage.

The intention of the drafters of the Wreck Removal Convention was to deal with the problem of recent casualties, and the nature of many of the obligations imposed on shipowners cannot be applied to wrecks that sank before the Convention came into force. Importantly the Convention does not apply to warships or other ships on public service, unless the flag State decides otherwise. Nevertheless, it may be argued that the rights

---

54 Both treaties provide that they do not prejudice the rights of States to take intervention measures in certain circumstances. See UNCLOS art 221 and Salvage Convention art 9.
55 The Netherlands and Germany, in particular, have had considerable difficulties with wrecks in their offshore waters posing a navigation or pollution hazard. IMO LEG 75/6/1 ANNEX, 14 February 1997, 3.
and duties of the coastal State to deal with hazardous wrecks may be applicable to historic as well as contemporary wrecks, and might extend to warships. This includes not only the right of the coastal State to intervene when an historic wreck in the exclusive economic zone (or territorial sea) poses a hazard, but also the duties imposed by the Wreck Removal Convention upon the coastal State, such as to take all practical steps to warn mariners and other States of the nature and location of the hazard, and to mark the wreck.

7 Resolving the Conflict

The subject of historic wrecks is usually treated as entirely distinct and separate from wreck removal. Nonetheless a great many historic wrecks are potentially hazardous given their deteriorating state and the possibility of remaining bunker fuel, munitions and dangerous cargoes still being aboard. This gives rise to difficulty in reconciling the historic value of these wrecks with the hazard they may pose.

The most obvious conflict that might arise is between the archaeological principle of in situ preservation and the wreck removal convention imperative to ‘remove’ the hazard, which may require removal or even destruction of the wreck itself. Merely because an historic wreck poses an environmental hazard does not detract from its archaeological and historical value. There is also still a duty pursuant to the UNCLOS and the Underwater Cultural Heritage Convention to protect that value as far as possible, notwithstanding the hazard posed by the wreck. Removal, therefore, ought to apply to the hazard emanating from the wreck, and not necessarily the wreck itself. The Wreck Removal Convention, for example, defines ‘removal’ as ‘any form of prevention, mitigation or elimination of the hazard created by a wreck.’ Removal encompasses anything from moving the wreck to another location, as in the case of UB38, or its full excavation and recovery, such as in the case of the Nord Stream pipeline wreck, to destruction, as appears to be the fate of the hull of the Ormen Lange wreck. Usually, however, it has meant removal of the hazard by removing the hazardous cargo or bunkers, as was the case for HMS Royal Oak and USS Mississeneva, but leaving the hull in situ. In the case of HMS Royal Oak, a particular sensitivity was the wreck’s status as a war grave, resulting in some difficulty in addressing the environmental hazard while at the same time ‘respecting the wishes of the survivors’ groups to disturb the wreck as little as possible. The options available depend on a variety of factors. That the wreck may be of historic value, and possibly a gravesite, merely complicates the matter. So too does the fact that many wrecks that have been on the seabed for some decades have become part of the marine environment. While most contemporary wrecks are regarded as a form of pollution of the marine environment, historic wrecks may be an integral component of the marine environment, providing habitat for various species of fauna and flora, and important breeding grounds for fish. Whilst a pollution (or navigation) hazard, the ‘removal’ of the wreck will need to take account of the positive as well as potential negative effects of the wreck upon the environment.

8 Conclusion

The greatest achievement with regard to the protection of archaeologically, historically or culturally important State vessels is their inclusion in the protection regime afforded by the Underwater Cultural Heritage Convention. Failure to have included these vessels, or alternatively not to have defined these vessels narrowly, would have resulted in a substantially large proportion of archaeologically and historically important shipwrecks being excluded from the protective regime, greatly undermining its effectiveness and failing to provide a comprehensive international regime. The requirement that agreement is obtained by the flag State for the excavation of vessels beyond the territorial waters may also be regarded as an important contribution to the development of an in situ preservation regime. This is particularly so in regard to underwater cultural heritage on the continental shelf where it is expected a great number of underwater cultural heritage sites will be discovered in the near future due to further advancements in diving and underwater technology.

Within this regime, however, a great number of uncertainties continue to prevail. The Convention fails to resolve the problem of sovereign immunity to sunken warships and precondition of ownership, and abandonment. In the context of historic wrecks that pose a pollution or other threat, these uncertainties are exacerbated in trying to resolve a conflict. Much still needs to be done to unravel these complexities and provide a truly international regime for sunken historic warships, and especially those that pose a hazard.

60 Ian Oxley, ‘Scapa Flow and the protection and management of Scotland’s historic military shipwrecks’ (2002) 76 Antiquity 862, 865.